# Inside the Class of REGEX Languages 

Markus L. Schmid,<br>Loughborough University, UK

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## Extended Regular Expressions with Backreferences (REGEX)

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\begin{aligned}
& (\mathrm{a} \mid \mathrm{b})^{*} \mathrm{cb}^{*} \\
& \left\{w \mathrm{cb}^{n} \quad \mid w \in\{\mathrm{a}, \mathrm{~b}\}^{*}, n \geq 0\right\}
\end{aligned}
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$\left(1\left(2 a^{*}\right)_{2} b \backslash 2\right)_{1} c \backslash 1$
$\left\{\mathrm{a}^{n} \mathrm{ba}^{n} \mathrm{ca}^{n} \mathrm{ba}^{n} \mid n \geq 0\right\}$

## Practical Relevance of REGEX

- REGEX are intensely applied in practice...
- Traditional and Modern grep
- vi
- Modern sed
- GNU Emacs
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- Python
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- ...even though their membership problem is NP-complete.


## An Easy Example

$$
\left(1(\mathrm{a} \mid \mathrm{b})^{*} \mathrm{c}^{*}\right)_{1}(2(\mathrm{~b} \mid \mathrm{d}))_{2}(\backslash 1 \mid \backslash 2)
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$$

$(\backslash 1 \mid \backslash 2)$

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## An Easy Example

$\left(1(a \mid b)^{*} c^{*}\right)_{1}$
$(2(b \mid d))_{2}$
$X_{2}$
$(\ 1 \mid \backslash 2)$
$\left(x_{1} \mid x_{2}\right)$

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## An Easy Example



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\left(1\left(2\left(3(a \mid b)^{*}\right)_{3} c \backslash 3\right)_{2} d(\backslash 2 c)^{*}\right)_{1} e \backslash 1
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## A Fairly Involved Example

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\left(1 a^{*}\right)_{1} c(2 \backslash 1(b \mid c) \backslash 1)_{2} c\left(3(\backslash 1 \mid d)^{*} \backslash 2\right)_{3} \backslash 3
$$

## A Fairly Involved Example

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\left(1 \mathrm{a}^{*}\right)_{1} \quad c \quad(2 \backslash 1(\mathrm{~b} \mid \mathrm{c}) \backslash 1)_{2} \quad c \quad\left(3(\backslash 1 \mid d)^{*} \backslash 2\right)_{3} \quad \backslash 3
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- Regular expressions on the one hand and homomorphic replacement on the other a well understood concepts in language theory.
- In REGEX, these two concepts seem inherently entangled and it seems difficulty to treat them separately.
- Our approach: Study REGEX by investigating alternative ways to combine regular expressions and homomorphic replacement...
- ...without exceeding the expressive power of REGEX languages.
- Informally: Take regular expressions, take some mechanism of homomorphic replacement, combine them and see how much of the class of REGEX languages we actually get.


## (Typed) Pattern languages

Pattern: A word containing terminals (e.g. $\Sigma=\{a, b, c\}$ ) and variables $\left(X:=\left\{x_{1}, x_{2}, x_{3}, \ldots\right\}\right)$.

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$\mathcal{L}_{\Sigma}(\alpha)=\left\{w \mid w=u v \mathrm{~b} u v u, u, v \in \Sigma^{*}\right\}$.

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PAT $:=(\Sigma \cup X)^{+}$.

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$\operatorname{var}(\alpha)$ : Set of variables occurring in $\alpha$.
E. g. $\operatorname{var}\left(x_{1} a b x_{2} b a x_{1} x_{2} c x_{3}\right)=\left\{x_{1}, x_{2}, x_{3}\right\}$.

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For any language class $\mathfrak{L}$, $\mathcal{L}_{\mathfrak{L}}(\mathrm{PAT}):=\left\{\mathcal{L}_{\mathcal{T}}(\alpha) \mid \alpha \in \mathrm{PAT}, \mathcal{T} \in \mathfrak{L}^{|\operatorname{var}(\alpha)|}\right\}$.

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## Proposition

$\mathcal{L}_{\text {REG }}(\mathrm{PAT}) \subseteq \mathcal{L}($ REGEX $)$.

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Proposition
For any class of languages $\mathfrak{L}, \mathcal{L}_{\mathfrak{L}}(\mathrm{PAT})=\mathcal{L}_{\mathcal{L}_{\mathfrak{N}}(\mathrm{PAT})}(\mathrm{PAT})$.
Hence, the aspect of regular expressions cannot be limited to the type languages.

## Patterns with Regular Operators

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$\mathcal{L}_{\left(\mathcal{L}\left(b^{*}\right)\right)}\left(x_{1} c\right) \cup \mathcal{L}_{\left(\mathcal{L}\left(b^{*}\right)\right)}\left(x_{1} c x_{1} c\right) \cup \mathcal{L}_{\left(\mathcal{L}\left(b^{*}\right)\right)}\left(x_{1} c x_{1} c x_{1} c\right) \cup \ldots=$

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## Expressive Power

## Theorem $\mathcal{L}_{\left\{\Sigma^{*}\right\}}(\mathrm{PAT}) \subset \mathcal{L}_{\mathrm{REG}}(\mathrm{PAT}) \subset \mathcal{L}_{\mathrm{REG}}\left(\mathrm{PAT}_{\text {ro }}\right)$.

## Iteratively Typing Patterns with Regular Operators

$$
\mathfrak{L}_{\mathrm{r}, 0}:=\mathrm{REG},
$$

## Iteratively Typing Patterns with Regular Operators

$$
\begin{aligned}
& \mathfrak{L}_{\mathrm{ro}, 0}:=\mathrm{REG} \\
& \mathfrak{L}_{\mathrm{ro}, 1}:=\mathcal{L}_{\mathfrak{L}_{\mathrm{ro}, 0}}\left(\mathrm{PAT}_{\mathrm{ro}}\right)=\mathcal{L}_{\mathrm{REG}}\left(\mathrm{PAT}_{\mathrm{ro}}\right)
\end{aligned}
$$

## Iteratively Typing Patterns with Regular Operators

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& \mathfrak{L}_{\mathrm{ro}, 0}:=\mathrm{REG} \\
& \mathfrak{L}_{\mathrm{ro}, 1}:=\mathcal{L}_{\mathfrak{R}_{\mathrm{ro}, 0}}\left(\mathrm{PAT}_{\mathrm{ro}}\right)=\mathcal{L}_{\mathrm{REG}}\left(\mathrm{PAT}_{\mathrm{ro}}\right), \\
& \mathfrak{L}_{\mathrm{ro}, 2}:=\mathcal{L}_{\mathfrak{R}_{\mathrm{ro}}, 1}\left(\mathrm{PAT}_{\mathrm{ro}}\right),
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& \mathfrak{L}_{\mathrm{ro}, 2}:=\mathcal{L}_{\mathfrak{R}_{\mathrm{ro}, 1}}\left(\mathrm{PAT}_{\mathrm{ro}}\right), \\
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\mathfrak{L}_{\mathrm{ro}, 2} & :=\mathcal{L}_{\mathfrak{R}_{\mathrm{ro}, 1}}\left(\mathrm{PAT}_{\mathrm{ro}}\right) \\
\mathfrak{L}_{\mathrm{ro}, 3} & :=\mathcal{L}_{\mathfrak{L}_{\mathrm{ro}, 2}}\left(\mathrm{PAT}_{\mathrm{ro}}\right) \\
& \vdots \\
\mathfrak{L}_{\mathrm{ro}, \infty} & :=\bigcup_{i=0}^{\infty} \mathfrak{L}_{\mathrm{ro}, i} .
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Theorem

$$
\mathfrak{L}_{\mathrm{ro}, 0} \subset \mathfrak{L}_{\mathrm{ro}, 1} \subset \mathfrak{L}_{\mathrm{ro}, 2} \subseteq \mathfrak{L}_{\mathrm{ro}, 3} \subseteq \mathfrak{L}_{\mathrm{ro}, 4} \subseteq \ldots
$$

## Pattern Expressions

Introduced by Câmpeanu and Yu, 2004.
A pattern expression is a tuple

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\left(x_{1} \rightarrow r_{1}, x_{2} \rightarrow r_{2}, \ldots, x_{n} \rightarrow r_{n}\right),
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- $\operatorname{var}\left(r_{1}\right)=\emptyset$,
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The set of all pattern expressions is denoted by PE.
Example: $q:=\left(x_{1} \rightarrow a^{*}, x_{2} \rightarrow x_{1}(c \mid d) x_{1}, x_{3} \rightarrow x_{1} c x_{2}\right)$.
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$$
\begin{array}{lllllll}
a^{*} & x_{1} & (c \mid d) & x_{1} & x_{1} & c & x_{2}
\end{array}
$$

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$$
x_{1} \subset \quad x_{2}
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a* aaa (c|d) aaa
a c aaacaaa

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## Pattern Expression Languages

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q:=\left(x_{1} \rightarrow \mathrm{a}^{*}, x_{2} \rightarrow x_{1}(\mathrm{c} \mid \mathrm{d}) x_{1}, x_{3} \rightarrow x_{1} c x_{2}\right)
$$

$$
a^{*} \quad \text { aaa }(c \mid d) \text { aaa } \quad \text { a } c \text { aaacaaa }
$$

$\mathcal{L}_{\text {it }}(q)=\left\{\mathrm{a}^{k} \mathrm{ca}^{m} u \mathrm{a}^{m} \mid k, m \in \mathbb{N}_{0}, u \in\{\mathrm{c}, \mathrm{d}\}\right\}$ is the language generated by $q$ with respect to iterated substitution.

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$\mathcal{L}_{\text {uni }}(q)=\left\{\mathrm{a}^{m} \mathrm{ca}^{m} u \mathrm{a}^{m} \mid m \in \mathbb{N}_{0}, u \in\{\mathrm{c}, \mathrm{d}\}\right\}$ is the language generated by $q$ with respect to uniform substitution.

## Pattern Expression Languages

## Proposition <br> [Campeanu and Yu] For every $p \in \mathrm{PE}, \mathcal{L}_{\mathrm{it}}(p)$ is a REGEX language.

## Theorem

$$
\mathfrak{L}_{\mathrm{ro}, \infty}=\mathcal{L}_{\mathrm{it}}(\mathrm{PE})
$$

## Iterated vs. Uniform Substitution

## Proposition

Let $p:=\left(x_{1} \rightarrow r_{1}, \ldots, x_{m} \rightarrow r_{m}\right) \in \mathrm{PE}$.

- $\mathcal{L}_{\text {uni }}(p) \subseteq \mathcal{L}_{\text {it }}(p)$,
- if, for every $i, j, 1 \leq i<j \leq m$, $\operatorname{var}\left(r_{i}\right) \cap \operatorname{var}\left(r_{j}\right)=\emptyset$, then $\mathcal{L}_{\text {it }}(p) \subseteq \mathcal{L}_{\text {uni }}(p)$.


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## Theorem

$\mathcal{L}_{\text {it }}(\mathrm{PE}) \subset \mathcal{L}_{\text {uni }}(\mathrm{PE})$.

## Notation

A REGEX $r$ is star-free initialised iff every referenced subexpression does not occur under a star.

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- $\left(\left(_{1}(\mathrm{a} \mid \mathrm{b})^{*}\right)_{1} \mathrm{~b} \backslash 1\right)^{*} \mathrm{~b} \backslash 1$
- $\left({ }_{1}(\mathrm{a} \mid \mathrm{b})^{*}\right)_{1} \backslash 1\left({ }_{2} \mathrm{c}^{*}\right)_{2}(\mathrm{~d} \backslash 1 \backslash 2)^{*}$


## PE w. r. t. uniform subst. vs. star-free initialised REGEX

## Lemma

For every pattern expression $p$, there exists a star-free initialised REGEX $r$ with $\mathcal{L}_{\text {uni }}(p)=\mathcal{L}(r)$.

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Theorem
$\mathcal{L}\left(\right.$ REGEX $\left._{\text {sfi }}\right)=\mathcal{L}_{\text {uni }}(P E)$.
$-->$ subset $\longrightarrow$ proper subset
REG $\longleftrightarrow$ equality

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Inside the Class of REGEX Languages

---> subset $\longrightarrow$ proper subset $\longleftrightarrow$ equality

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